



aridea


WEALTH MANAGEMENT

August 27, 2025

Maridea Wealth Management LLC
CRD #325523

25 Kent Avenue, Suite 401,
Brooklyn, NY 11249

Phone: (347) 289-5227
www.marideawealth.com



Form ADV Part 2B – Brochure Supplement

for

**Mier Wang
Managing Member**

Effective: August 27, 2025

Brooklyn, NY

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Mier Wang (CRD# 6072886) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact the Adviser at (347) 289-5227.

Additional information about Mr. Wang is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6072886.

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Item 2 – Educational Background and Business Experience

Mier Wang, born in 1991, is dedicated to advising Clients of Maridea as a Managing Member. Mr. Wang earned a Bachelors of Economics from University of Pennsylvania in 2013. Additional information regarding Mr. Wang's employment history is included below.

Employment History:

Managing Member, Maridea Wealth Management LLC	05/2025 to Present
Chief Compliance Officer, Maridea Wealth Management LLC	02/2023 to 05/2025
Senior Investment Professional, Silver Point Capital, L.P.	02/2021 to 12/2022
Vice President, MGG Investment Group LP	08/2015 to 11/2020
Investment Banking Analyst, J.P. Morgan	04/2014 to 08/2015

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Wang. Mr. Wang has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Wang.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Wang.***

However, we do encourage you to independently view the background of Mr. Wang on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6072886.

Item 4 – Other Business Activities

AYS Noodle Company LLC

Mr. Wang is also a owner of multiple resturants in New York City. Mr. Wang receives additional compensation from his postion at AYS Noodle Company LLC that is separate and distinct from advisory fees.

Item 5 – Additional Compensation

Mr. Wang has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Wang serves a Managing Member of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Sean Sun
Investment Advisor Representative**

Effective: August 27, 2025

Paramus, NJ

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Sean Sun (CRD# 6747992) in addition to the information contained in the Maridea Wealth Management LLC dba Huckleberry Capital Management (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Sun is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6747992.

Item 2 – Educational Background and Business Experience

Sean Sun, born in 1986, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Sun earned a B.S., Economics & Political Science from University of Maryland, College Park in 2006. Additional information regarding Mr. Sun's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC dba Huckleberry Capital Management	02/2024 to Present
Managing Principal, Investment Advisor Representative, Huckleberry Capital Management, LLC	01/2017 to Present
Director of Insights and Analytics, Booster. LLC	03/2014 to 04/2015
Business Strategist, CustomInk	08/2012 to 03/2014
Founder & President, Saylo	02/2012 to 06/2012
Senior Analyst, The Motley Fool	07/2009 to 02/2012
Senior Analyst, Stanley & Partners Investment Management	10/2007 to 09/2008

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Sun. Mr. Sun has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Sun.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Sun.***

However, we do encourage you to independently view the background of Mr. Sun on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6747992.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Sun in his individual capacity is also an Investment Advisor Representative at Huckleberry Capital Management, LLC ("Huckleberry") (CRD# 173149). Mr. Sun does not recommend the services of Huckleberry to Clients of Maridea and is strictly maintaining the dual investment advisor representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Sun has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Sun serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Thomas C. Jacobs
Investment Advisor Representative**

Effective: August 27, 2025

Marfa, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Thomas C. Jacobs (CRD# 5840128) in addition to the information contained in the Maridea Wealth Management LLC dba Huckleberry Capital Management (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Jacobs is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5840128.

Item 2 – Educational Background and Business Experience

Thomas C. Jacobs, born in 1956, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Jacobs earned a J.D. from University of Chicago Law School in 1987. Mr. Jacobs also earned a B.A. from Cornell University in 1977. Mr. Jacobs also earned an M.A.T. from Cornell University in 1978. Additional information regarding Mr. Jacobs's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC dba Huckleberry Capital Management	02/2024 to Present
Partner, Investment Advisor Representative, Huckleberry Capital Management, LLC	01/2015 to Present
Portfolio Manager, Echelon Investment Management	12/2013 to 12/2015
Advisor / Portfolio Manager, Motley Fool Special Ops newsletter	01/2010 to 07/2015

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Jacobs. Mr. Jacobs has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Jacobs.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Jacobs.***

However, we do encourage you to independently view the background of Mr. Jacobs on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5840128.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Jacobs in his individual capacity is also an Investment Advisor Representative at Huckleberry Capital Management, LLC ("Huckleberry") (CRD# 173149). Mr. Jacobs does not recommend the services of Huckleberry to Clients of Maridea and is strictly maintaining the dual investment advisor representative registration for transition purposes.

The Marfa Group, Inc.

Mr. Jacobs also serves as the Principal of The Marfa Group. In his capacity as Principal of The Marfa Group, Mr. Jacobs receives compensation for his business activities, which includes public speaking engagements, writing, editing, promotion, and any royalties from his published investment book(s). Mr. Jacobs spends less than 5% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Jacobs has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Jacobs serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is

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required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

Kenneth Bauso, CFA®
Investment Advisor Representative

Effective: August 27, 2025

Marfa, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Kenneth Bauso, CFA® (CRD# 3129411) in addition to the information contained in the Maridea Wealth Management LLC dba Huckleberry Capital Management (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Bauso is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 3129411.

Item 2 – Educational Background and Business Experience

Kenneth Bauso, CFA®, born in 1972, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Bauso earned a BS from Rutgers University in 1994. Additional information regarding Mr. Bauso's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC dba Huckleberry Capital Management	02/2024 to Present
Investment Advisor Representative, Huckleberry Capital Management, LLC	11/2019 to Present
Co-Portfolio Manager, Elementum Management LLC	06/2010 to 10/2017
Senior Managing Director, Bear Stearns International Ltd.	12/2002 to 08/2008

Chartered Financial Analyst™ (“CFA®”)

The Chartered Financial Analyst™ (“CFA®”) charter is a professional designation established in 1962 and awarded by CFA® Institute. To earn the CFA® charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA® Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA® charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. CFA® is a trademark owned by CFA® Institute.

Certified Financial Planner™ (“CFP®”)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

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- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Bauso. Mr. Bauso has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Bauso.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Bauso.***

However, we do encourage you to independently view the background of Mr. Bauso on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 3129411.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Bauso in his individual capacity is also an Investment Advisor Representative at Huckleberry Capital Management, LLC ("Huckleberry") (CRD# 173149). Mr. Bauso does not recommend the services of Huckleberry to Clients of Maridea and is strictly maintaining the dual investment advisor representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Bauso has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Bauso serves as an Investment Advisor of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

Marc Gagni
Investment Advisor Representative

Effective: August 27, 2025

San Antonio, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Marc Gagni (CRD# 5898172) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Gagni is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5898172.

Item 2 – Educational Background and Business Experience

Marc Gagni, born in 1990, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Gagni earned a Bachelor's in Financial Planning from Texas Tech University in 2011. Additional information regarding Mr. Gagni's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC dba Gagni Wealth Management	07/2024 to Present
Managing Member, Gagni Wealth Management LLC	01/2014 to Present

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Gagni. Mr. Gagni has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Gagni.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Gagni.***

However, we do encourage you to independently view the background of Mr. Gagni on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5898172.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Gagni in his individual capacity is also an Investment Advisor Representative at Gagni Wealth Management LLC (CRD# 169527). Mr. Gagni does not recommend the services of Gagni Wealth Management LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Insurance Agency Affiliations

Mr. Gagni is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Gagni's role with Maridea. As an insurance professional, Mr. Gagni will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Gagni is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Gagni or the Advisor. Mr. Gagni spends approximately 10% of his time per month in this capacity.

Gagni Ventures Management Company LLC

Mr. Gagni is also a managing member of Gagni Ventures Management Company LLC. In this capacity, Mr. Gagni manages a Limited Partnership and oversees private investments. Mr. Gagni spends approximately 5% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Gagni has additional business activities where compensation is received that are detailed in Item 4 above.

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Item 6 – Supervision

Mr. Gagni serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Allison L. Gagni
Investment Advisor Representative**

Effective: August 27, 2025

San Antonio, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Allison L. Gagni (CRD# 6376455) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mrs. Gagni is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6376455.

Item 2 – Educational Background and Business Experience

Allison L. Gagni, born in 1988, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mrs. Gagni earned a Bachelor of Science - Hotel, Motel, and Restaurant Management from Texas Tech University in 2009. Additional information regarding Mrs. Gagni's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC dba Gagni Wealth Management	07/2024 to Present
Investment Advisor Representative, Gagni Wealth Management LLC	04/2016 to Present
Insurance Agent, Gagni Financial Services	07/2015 to Present

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mrs. Gagni. Mrs. Gagni has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mrs. Gagni.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mrs. Gagni.***

However, we do encourage you to independently view the background of Mrs. Gagni on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6376455.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mrs. Gagni in her individual capacity is also an Investment Advisor Representative at Gagni Wealth Management LLC (CRD# 169527). Mrs. Gagni does not recommend the services of Gagni Wealth Management LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Insurance Agency Affiliations

Mrs. Gagni is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mrs. Gagni's role with Maridea. As an insurance professional, Mrs. Gagni will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mrs. Gagni is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mrs. Gagni or the Advisor. Mrs. Gagni spends approximately 10% of her time per month in this capacity.

Item 5 – Additional Compensation

Mrs. Gagni has additional business activities where compensation is received that are detailed in Item 4 above.

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Item 6 – Supervision

Mrs. Gagni serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Robert Confessore, CFP®
Investment Advisor Representative**

Effective: August 27, 2025

Red Bank, NJ

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Robert Confessore, CFP® (CRD# 1156068) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Confessore is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 1156068.

Item 2 – Educational Background and Business Experience

Robert Confessore, CFP®, born in 1955, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Confessore earned his Bachelor of Science in Mechanical Engineering from Stevens Institute of Technology in 1977. Additional information regarding Mr. Confessore's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
President, Millington Securities, LLC	11/2013 to Present
Owner, President, and Investment Advisor Representative, Hartshorne Group, LLC	10/2009 to Present
Vice President and Investment Advisor Representative, WBI Investments, LLC	09/2006 to Present

CERTIFIED FINANCIAL PLANNER™ (“CFP®”)

The CERTIFIED FINANCIAL PLANNER™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by CERTIFIED FINANCIAL PLANNER™ Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 87,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

Maridea Wealth Management LLC

25 Kent Avenue, Suite 401
Brooklyn, NY 11249

Phone: (347) 289-5227 | Website: <https://marideawealth.com/>

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Confessore. Mr. Confessore has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Confessore.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Confessore.***

However, we do encourage you to independently view the background of Mr. Confessore on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 1156068.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Confessore is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Confessore's role with Maridea. As an insurance professional, Mr. Confessore will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Confessore is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Confessore or the Advisor. Mr. Confessore spends approximately 5% of his time per month in this capacity.

Hartshorne Group, LLC

Mr. Confessore in his individual capacity is also the Owner, President and an Investment Advisor Representative of Hartshorne Group, LLC ("HG") (CRD# 150897), a registered investment advisor. Mr. Confessore does not recommend the services of HG to Clients of Maridea. Mr. Confessore is maintaining the investment advisor representative registration for transitional purposes only. Following the transition, Mr. Confessore will no longer maintain registration with HG.

WBI Investments, LLC

Mr. Confessore, in his individual capacity, serves as Vice President, an Investment Advisor Representative, and is a Minority Owner of WBI Investments, LLC (CRD# 106336) ("WBI"), a registered investment advisor. In circumstances where it is deemed in the best interest of the Client, Mr. Confessore and Maridea may recommend the use of WBI as a sub-advisor for a portion of the Client's portfolio. This creates a conflict of interest, as Mr. Confessore stands to receive compensation through his ownership in WBI. However, Clients are under no obligation to utilize WBI as a sub-advisor to maintain their advisory relationship with Maridea. Mr. Confessore dedicates less than 5% of his time each month to this role.

Millington Securities, LLC

Mr. Confessore is also the President of Millington Securities, LLC (CRD# 147298) ("MS"), a registered investment advisor providing investment management services to Registered Investment Companies ("RICs"). Maridea clients may receive recommendations to invest in RICs managed by MS. This presents a conflict of interest, as Mr. Confessore is entitled to compensation through his ownership in Millington Securities. However, Clients are under no obligation to invest in any RICs managed by MS to maintain their advisory relationship with Maridea. Mr. Confessore dedicates less than 5% of his time each month to this role.

Item 5 – Additional Compensation

Mr. Confessore has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Confessore serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Misty Marlowe
Investment Advisor Representative**

Effective: August 27, 2025

Martinsburg, WV

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Misty Marlowe (CRD# 4508270) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Ms. Marlowe is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 4508270.

Item 2 – Educational Background and Business Experience

Misty Marlowe, born in 1965, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Ms. Marlowe earned her high school diploma from Ocean Township High School in 1983. Additional information regarding Ms. Marlowe's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
Investment Advisor Representative, Hartshorne Group, LLC	04/2016 to Present
Manager - Planning and Client Services, Hartshorne Group, LLC	12/2008 to Present

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Marlowe. Ms. Marlowe has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Marlowe.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Marlowe.***

However, we do encourage you to independently view the background of Ms. Marlowe on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 4508270.

Item 4 – Other Business Activities

Hartshorne Group, LLC

Ms. Marlowe in her individual capacity is also a Manager of Planning and Client Services and an Investment Advisor Representative of Hartshorne Group, LLC ("HG") (CRD# 150897), a registered investment advisor. Ms. Marlowe does not recommend the services of HG to Clients of Maridea. Ms. Marlowe is maintaining the investment advisor representative registration for transitional purposes only. Following the transition, Ms. Marlowe will no longer maintain registration with HG.

Item 5 – Additional Compensation

Ms. Marlowe has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Ms. Marlowe serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227..

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Maridea Wealth Management LLC

25 Kent Avenue, Suite 401

Brooklyn, NY 11249

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Form ADV Part 2B – Brochure Supplement

for

**Christopher McNeil
Investment Advisor Representative**

Effective: August 27, 2025

San Antonio, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Christopher McNeil (CRD# 6724162) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. McNeil is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6724162.

Item 2 – Educational Background and Business Experience

Christopher McNeil, born in 1975, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. McNeil earned his Bachelor of Science in Biochemistry, math, and physics from University of Nebraska-Lincoln in 1996. Mr. McNeil also earned his Doctor of Medicine from University of Nebraska Medical Center in 2002. Additional information regarding Mr. McNeil's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
Insurance Agent, Maridea Insurance Company, LLC	09/2024 to Present
Registered Representative, Private Client Services, LLC	10/2020 to Present
Investment Advisor Representative, VitalStone Financial, LLC	10/2020 to Present
Registered Representative, MML Investors Services, LLC	03/2017 to 10/2020
Investment Advisor Representative, MML Investors Services, LLC	03/2017 to 10/2020

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. McNeil. Mr. McNeil has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. McNeil.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. McNeil.***

However, we do encourage you to independently view the background of Mr. McNeil on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6724162.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. McNeil is also a registered representative of Private Client Services, LLC ("PCS"). PCS is a registered broker-dealer (CRD# 120222), member FINRA, SIPC. In Mr. McNeil's separate capacity as a registered representative, Mr. McNeil will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. McNeil. Neither the Advisor nor Mr. McNeil will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. McNeil's separate capacity as a registered representative. Mr. McNeil spends approximately 10% of his time per month in his role as a registered representative of PCS.

Insurance Agency Affiliations

Mr. McNeil is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. McNeil's role with Maridea. As an insurance professional, Mr. McNeil will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. McNeil is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. McNeil or the Advisor. Mr. McNeil spends approximately 5% of his time per month in this capacity.

Registered Investment Advisor ("RIA") Affiliation

Mr. McNeil in his individual capacity is also an Investment Advisor Representative with VitalStone Financial, LLC (CRD# 310485). Mr. McNeil does not recommend the services of VitalStone Financial, LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Maridea Wealth Management LLC

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Brooklyn, NY 11249

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Greater San Antonio Emergency Physicians

Mr. McNeil is also a Part-Time Physician with Greater San Antonio Emergency Physicians. In this capacity, Mr. McNeil works with physicians providing emergency care. Mr. McNeil spends approximately 5% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. McNeil has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. McNeil serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Brooklyn, NY 11249

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Form ADV Part 2B – Brochure Supplement

for

Jason Odette, CFP®
Investment Advisor Representative

Effective: August 27, 2025

San Antonio, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Jason Odette, CFP® (CRD# 2691630) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Odette is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2691630.

Item 2 – Educational Background and Business Experience

Jason Odette, CFP®, born in 1973, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Odette earned his Bachelor of Arts Degree from Ft. Lewis College in 1995. Additional information regarding Mr. Odette's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
Insurance Agent, Maridea Insurance Company, LLC	09/2024 to Present
Registered Representative, Private Client Services, LLC	10/2020 to Present
Investment Advisor Representative, VitalStone Financial, LLC	10/2020 to Present
Registered Representative, MML Investors Services, LLC	03/2017 to 10/2020
Investment Advisor Representative, MML Investors Services, LLC	03/2017 to 10/2020

CERTIFIED FINANCIAL PLANNER™ ("CFP®")

The CERTIFIED FINANCIAL PLANNER™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by CERTIFIED FINANCIAL PLANNER™ Board of Standards, Inc. ("CFP® Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 87,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

Maridea Wealth Management LLC

25 Kent Avenue, Suite 401

Brooklyn, NY 11249

Phone: (347) 289-5227 | Website: <https://marideawealth.com/>

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Odette. Mr. Odette has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Odette.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Odette.***

However, we do encourage you to independently view the background of Mr. Odette on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2691630.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Odette is also a registered representative of Private Client Services, LLC (“PCS”). PCS is a registered broker-dealer (CRD# 120222), member FINRA, SIPC. In Mr. Odette’s separate capacity as a registered representative, Mr. Odette will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Odette. Neither the Advisor nor Mr. Odette will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Odette’s separate capacity as a registered representative. Mr. Odette spends approximately 10% of his time per month in his role as a registered representative of PCS.

Insurance Agency Affiliations

Mr. Odette is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Odette’s role with Maridea. As an insurance professional, Mr. Odette will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Odette is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Odette or the Advisor. Mr. Odette spends approximately 10% of his time per month in this capacity.

Registered Investment Advisor (“RIA”) Affiliation

Mr. Odette in his individual capacity is also an Investment Advisor Representative with VitalStone Financial, LLC (CRD# 310485). Mr. Odette does not recommend the services of VitalStone Financial, LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Odette has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Odette serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Kenneth Marriott
Investment Advisor Representative**

Effective: August 27, 2025

San Antonio, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Kenneth Marriott (CRD# 7021835) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Marriott is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7021835.

Item 2 – Educational Background and Business Experience

Kenneth Marriott, born in 1972, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Marriott earned his medical degree from the Uniformed Services University of the Health Sciences F. Edward Herbert School of Medicine in 2002. Additional information regarding Mr. Marriott's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
Insurance Agent, Maridea Insurance Company, LLC	09/2024 to Present
Registered Representative, Private Client Services, LLC	10/2020 to Present
Investment Advisor Representative, VitalStone Financial, LLC	10/2020 to Present
Physician Reviewer, MET Healthcare Solutions	08/2020 to Present
Medical Director, US Acute Care Solutions	01/2018 to Present
Attending Physician, UT Health San Antonio	01/2012 to Present
Investment Advisor Representative, MML Investors Services, LLC	01/2019 to 10/2020
Registered Representative, MML Investors Services, LLC	10/2018 to 10/2020

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Marriott. Mr. Marriott has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Marriott.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Marriott.***

However, we do encourage you to independently view the background of Mr. Marriott on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7021835.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Marriott is also a registered representative of Private Client Services, LLC ("PCS"). PCS is a registered broker-dealer (CRD# 120222), member FINRA, SIPC. In Mr. Marriott's separate capacity as a registered representative, Mr. Marriott will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Marriott. Neither the Advisor nor Mr. Marriott will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Marriott's separate capacity as a registered representative. Mr. Marriott spends approximately 10% of his time per month in his role as a registered representative of PCS.

Insurance Agency Affiliations

Mr. Marriott is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Marriott's role with Maridea. As an insurance professional, Mr. Marriott will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Marriott is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Marriott or the Advisor. Mr. Marriott spends approximately 10% of his time per month in this capacity.

Maridea Wealth Management LLC

25 Kent Avenue, Suite 401

Brooklyn, NY 11249

Phone: (347) 289-5227 | Website: <https://marideawealth.com/>

Registered Investment Advisor (“RIA”) Affiliation

Mr. Marriott in his individual capacity is also an Investment Advisor Representative with VitalStone Financial, LLC (CRD# 310485). Mr. Odette does not recommend the services of VitalStone Financial, LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

US Acute Care Solutions

Mr. Marriott is also a Physician with US Acute Care Solutions. In this capacity, Mr. Marriott provides medical care to patients in the emergency department. Mr. Marriott spends approximately 15% of his time per month in this capacity.

UT Health San Antonio

Mr. Marriott is also a Physician and Associate Professor with UT Health San Antonio. In this capacity, Mr. Marriott provides medical care to patients in the emergency department. Mr. Marriott spends less than 5% of his time per month in this capacity.

Envision Physician Services

Mr. Marriott is also an Attending Physician with Envision Physician Services. In this capacity, Mr. Marriott provides medical care to patients in the emergency department and provides physician staffing services. Mr. Marriott spends approximately 15% of his time per month in this capacity.

Bobihealth

Mr. Marriott also serves on the board of directors for Bobihealth. Bobihealth is a startup company creating a mobile application to improve pregnancy outcomes for users. In this role, Mr. Marriott works in an advisory capacity and assists with the development of the application's medical algorithms. Mr. Marriott spends less than 5% of his time per month in this capacity.

Victoria Emergency Associates

Mr. Marriott is also a Physician with Victoria Emergency Associates. In this capacity, Mr. Marriott provides medical care as an attending emergency physician and contracts a group that provides staffing to emergency departments. Mr. Marriott spends approximately 15% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Marriott has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Marriott serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**James Jedrziwski
Investment Advisor Representative**

San Antonio, TX

Effective: August 27, 2025

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of James Jedrziwski (CRD# 5601146) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Jedrziwski is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5601146.

Maridea Wealth Management LLC
25 Kent Avenue, Suite 401
Brooklyn, NY 11249

Phone: (347) 289-5227 | Website: <https://marideawealth.com/>

Item 2 – Educational Background and Business Experience

James Jedrziwski, born in 1980, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Jedrziwski earned his Bachelor of Business Administration from Concordia University Texas in 2005. Additional information regarding Mr. Jedrziwski's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	09/2024 to Present
Insurance Agent, Maridea Insurance Company, LLC	09/2024 to Present
Registered Representative, Private Client Services, LLC	10/2020 to Present
Investment Advisor Representative, VitalStone Financial, LLC	10/2020 to Present
Investment Advisor Representative, MML Investors Services, LLC	03/2017 to 10/2020
Registered Representative, MML Investors Services, LLC	03/2017 to 10/2020

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Jedrziwski. Mr. Jedrziwski has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Jedrziwski.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Jedrziwski.***

However, we do encourage you to independently view the background of Mr. Jedrziwski on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5601146.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Jedrziwski is also a registered representative of Private Client Services, LLC ("PCS"). PCS is a registered broker-dealer (CRD# 120222), member FINRA, SIPC. In Mr. Jedrziwski's separate capacity as a registered representative, Mr. Jedrziwski will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Jedrziwski. Neither the Advisor nor Mr. Jedrziwski will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Jedrziwski's separate capacity as a registered representative. Mr. Jedrziwski spends approximately 10% of his time per month in his role as a registered representative of PCS.

Insurance Agency Affiliations

Mr. Jedrziwski is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Jedrziwski's role with Maridea. As an insurance professional, Mr. Jedrziwski will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Jedrziwski is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Jedrziwski or the Advisor. Mr. Jedrziwski spends approximately 10% of his time per month in this capacity.

Registered Investment Advisor ("RIA") Affiliation

Mr. Jedrziwski in his individual capacity is also an Investment Advisor Representative with VitalStone Financial, LLC (CRD# 310485). Mr. Jedrziwski does not recommend the services of VitalStone Financial, LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

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Brooklyn, NY 11249

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Item 5 – Additional Compensation

Mr. Jedrzewski has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Jedrzewski serves as an Investment Advisor Representative of Maridea and is supervised Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

Katrena B. Shipp
Investment Advisor Representative

Effective: August 27, 2025

Shavano Park, TX

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Katrena B. Shipp (CRD# 2360299) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Ms. Shipp is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 2360299.

Item 2 – Educational Background and Business Experience

Katrena B. Shipp, born in 1970, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Ms. Shipp earned her Bachelor's Degree in Economics from University of Texas at Austin in 1993. Additional information regarding Ms. Shipp's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	11/2024 to Present
Insurance Agent, Maridea Insurance Company, LLC	11/2024 to Present
Registered Representative, Private Client Services, LLC	11/2024 to Present
Investment Advisor Representative, Advice and Planning Services	10/2017 to 07/2024
Registered Representative, TIAA-CREF Individual & Institutional Services, LLC	10/2017 to 07/2024

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Shipp. Ms. Shipp has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Shipp.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Shipp.***

However, we do encourage you to independently view the background of Ms. Shipp on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 2360299.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Shipp is also a registered representative of Private Client Services, LLC ("PCS"). PCS is a registered broker-dealer (CRD# 120222), member FINRA, SIPC. In Ms. Shipp's separate capacity as a registered representative, Ms. Shipp will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Ms. Shipp. Neither the Advisor nor Ms. Shipp will earn ongoing investment advisory fees in connection with any products or services implemented in Ms. Shipp's separate capacity as a registered representative. Ms. Shipp spends approximately 10% of her time per month in her role as a registered representative of PCS.

Insurance Agency Affiliations

Ms. Shipp is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Ms. Shipp's role with Maridea. As an insurance professional, Ms. Shipp will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Ms. Shipp is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Shipp or the Advisor. Ms. Shipp spends approximately 5% of her time per month in this capacity.

Item 5 – Additional Compensation

Ms. Shipp has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Ms. Shipp serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Henry N. Ellis, CFP®, CPFA
Investment Advisor Representative**

Effective: August 27, 2025

Brooklyn, NY

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Henry N. Ellis, CFP®, CPFA (CRD# 7161860) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Ellis is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7161860.

Item 2 – Educational Background and Business Experience

Henry N. Ellis, CFP®, CPFA, born in 1997, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Ellis earned his Bachelor of Arts - Economics and Mathematics from Cornell University in 2019. Additional information regarding Mr. Ellis's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	02/2025 to Present
Insurance Agent, Maridea Insurance Company, LLC	02/2025 to Present
Investment Advisor Representative, OneDigital Investment Advisors	09/2022 to 02/2025
Wealth Management Associate, KB Financial Partners, LLC	08/2019 to 12/2023
Registered Representative, M Holdings Securities, Inc.	08/2019 to 02/2025

CERTIFIED FINANCIAL PLANNER™ (“CFP®”)

The CERTIFIED FINANCIAL PLANNER™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by CERTIFIED FINANCIAL PLANNER™ Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 87,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

Certified Plan Fiduciary Advisor (“CPFA”)

The Certified Plan Fiduciary Advisor credential, offered by the National Association of Plan Advisors (NAPA), was developed by some of the nation's leading advisors and retirement plan experts. Plan advisors who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and

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responsibilities. The NAPA CPFA coursework covers four key areas: 1. ERISA Fiduciary Roles and Responsibilities; 2. ERISA Fiduciary Oversight; 3. ERISA Plan Investment Management; and 4. ERISA Plan Management. To earn the CPFA credential, candidates must pass a three hour, proctored, CPFA examination. In order to maintain the credential, holders of CPFA must earn 20 continuing education credits every two-year cycle. Two of the credits must include ethics / professionalism topics.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Ellis. Mr. Ellis has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Ellis.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Ellis.***

However, we do encourage you to independently view the background of Mr. Ellis on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7161860.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Ellis is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Ellis's role with Maridea. As an insurance professional, Mr. Ellis will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Ellis is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Ellis or the Adviser. Mr. Ellis spends less than 5% of his time per month in this capacity.

Digital Marketing Consulting

Mr. Ellis is also an independent digital marketing consultant. In this capacity, Mr. Ellis provides consulting services to various sales teams with a focus on integrating technology into digital marketing campaigns. Mr. Ellis is compensated for his consulting services and spends less than 5% of his time during the month in this capacity.

Item 5 – Additional Compensation

Mr. Ellis has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Ellis serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Brian J. Cathcart, CPA
Investment Advisor Representative**

Effective: August 27, 2025

Bend, OR

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Brian J. Cathcart, CPA (CRD# 8026884) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Cathcart is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 8026884.

Item 2 – Educational Background and Business Experience

Brian J. Cathcart, CPA, born in 1971, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Cathcart earned his Master of Science in Business Administration with a concentration in Accounting from Colorado State University in 2004. Mr. Cathcart also earned his Bachelor of Arts in Professional Accounting from Fort Lewis College in 1995. Additional information regarding Mr. Cathcart's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	01/2025 to Present
Insurance Agent, Maridea Insurance Company, LLC	01/2025 to Present
President, The Financial Lab	01/2005 to Present
General Manager/Owner, Rocky Mountain Running Company, LLC	12/2015 to 12/2020

Certified Public Accountant™ ("CPA")

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants™ (AICPA®) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's® Code of Professional Conduct within their state accountancy laws or have created their own.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Cathcart. Mr. Cathcart has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Cathcart.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Cathcart.***

However, we do encourage you to independently view the background of Mr. Cathcart on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 8026884.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Cathcart is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Cathcart's role with Maridea. As an insurance professional, Mr. Cathcart will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Cathcart is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Cathcart or the Adviser. Mr. Cathcart spends approximately 10% of his time per month in this capacity.

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The Financial Lab

Mr. Cathcart also serves as President of The Financial Lab. Mr. Cathcart provides tax and accounting services through The Financial Lab. Tax and accounting services are separate and distinct from investment advisory services provided by Maridea. Maridea may recommend that that Clients engage The Financial Lab for tax and accounting services, which are provided as a separate service and fee. Clients are under no obligation to utilize these services offered by Mr. Cathcart in his separate capacity. Mr. Cathcart spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Cathcart has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Cathcart serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached by contacting the Adviser directly at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Nicholas Crow, CFA®
Chief Operating Officer**

Effective: August 27, 2025

Denver, CO

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Nicholas Crow, CFA® (CRD# 6280566) in addition to the information contained in the Maridea Wealth Management LLC ("Maridea" or the "Adviser", CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Crow is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6280566.

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Item 2 – Educational Background and Business Experience

Nicholas Crow, CFA®, born in 1978, is dedicated to advising Clients of Maridea as its Chief Operating Officer. Mr. Crow earned his Bachelor of Arts - Business Administration from University of Phoenix in 2007. Mr. Crow also earned his MBA from University of Colorado Boulder- Leeds School of Business in 2020. Additional information regarding Mr. Crow's employment history is included below.

Employment History:

Chief Operating Officer, Maridea Wealth Management LLC	07/2025 to Present
Co-Founder, CEO, Chief Compliance Officer, Hoot Wealth, LLC	01/2025 to Present
President, Motley Fool Investment Management, LLC	01/2022 to 01/2025
Head / Chief Operating Officer, Motley Fool Money Management	06/2019 to 01/2025
President, Motley Fool Wealth Management, LLC	01/2014 to 01/2025

Chartered Financial Analyst™ ("CFA®")

The Chartered Financial Analyst™ ("CFA®") charter is a professional designation established in 1962 and awarded by CFA® Institute. To earn the CFA® charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA® Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA® charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. CFA® is a trademark owned by CFA® Institute.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Crow. Mr. Crow has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Crow.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Crow.***

However, we do encourage you to independently view the background of Mr. Crow on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6280566.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Crow in his individual capacity is also an Investment Advisor Representative with Hoot Wealth LLC (CRD# 334034). Mr. Crow does not recommend the services of Hoot Wealth LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Crow has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Crow serves as the Chief Operating Officer of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Bryan Hinmon, CFA®
Chief Investment Officer**

Effective: August 27, 2025

Denver, CO

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Bryan Hinmon, CFA® (CRD# 4603636) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Hinmon is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 4603636.

Item 2 – Educational Background and Business Experience

Bryan Hinmon, CFA®, born in 1981, is dedicated to advising Clients of Maridea as its Chief Investment Officer. Mr. Hinmon earned his Bachelors of Business Administration - Finance from Stetson University in 2003. Additional information regarding Mr. Hinmon's employment history is included below.

Employment History:

Chief Investment Officer, Maridea Wealth Management LLC	07/2025 to Present
Co-Founder / Chief Investment Officer, Hoot Wealth LLC	01/2025 to Present
Chief Investment Officer / Senior Portfolio Manager, Motley Fool Asset Management, LLC	12/2016 to 01/2025
Lead Portfolio Manager, Motley Fool Wealth Management, LLC	01/2016 to 01/2025

Chartered Financial Analyst™ (“CFA®”)

The Chartered Financial Analyst™ (“CFA®”) charter is a professional designation established in 1962 and awarded by CFA® Institute. To earn the CFA® charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA® Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA® charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. CFA® is a trademark owned by CFA® Institute.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Hinmon. Mr. Hinmon has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Hinmon.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Hinmon.***

However, we do encourage you to independently view the background of Mr. Hinmon on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 4603636.

Item 4 – Other Business Activities

Registered Investment Advisor (“RIA”) Affiliation

Mr. Hinmon in his individual capacity is also an Investment Advisor Representative with Hoot Wealth LLC (CRD# 334034). Mr. Hinmon does not recommend the services of Hoot Wealth LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Hinmon has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Hinmon serves as the Chief Investment Officer of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by

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various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Michael Padilla
Wealth Advisor**

Effective: August 27, 2025

Denver, CO

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Michael Padilla (CRD# 5113657) in addition to the information contained in the Maridea Wealth Management LLC ("Maridea" or the "Adviser", CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Padilla is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5113657.

Maridea Wealth Management LLC
25 Kent Avenue, Suite 401
Brooklyn, NY 11249

Phone: (347) 289-5227 | Website: <https://marideawealth.com/>

Item 2 – Educational Background and Business Experience

Michael Padilla, born in 1983, is dedicated to advising Clients of Maridea as a Wealth Advisor. Mr. Padilla earned his Bachelor's Degree in Economics from Westminster College in 2006. Additional information regarding Mr. Padilla's employment history is included below.

Employment History:

Wealth Advisor, Maridea Wealth Management LLC	07/2025 to Present
Wealth Advisor, Hoot Wealth LLC	01/2025 to Present
Paraplanner / Wealth Advisor, Motley Fool Wealth Management, LLC	11/2014 to 01/2025

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Padilla. Mr. Padilla has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Padilla.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Padilla.***

However, we do encourage you to independently view the background of Mr. Padilla on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5113657.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Padilla in his individual capacity is also an Investment Advisor Representative with Hoot Wealth LLC (CRD# 334034). Mr. Padilla does not recommend the services of Hoot Wealth LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Padilla has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Padilla serves as a Wealth Advisor of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Jared Chase
Client Success Associate**

Effective: August 27, 2025

Denver, CO

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Jared Chase (CRD# 7240196) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Chase is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7240196.

Item 2 – Educational Background and Business Experience

Jared Chase, born in 1993, is dedicated to advising Clients of Maridea as a Client Success Associate. Mr. Chase earned his Bachelor's Degree in Sociology and Economics from Skidmore College in 2018. Additional information regarding Mr. Chase's employment history is included below.

Employment History:

Client Success Associate, Maridea Wealth Management LLC	07/2025 to Present
Client Success Associate, Hoot Wealth LLC	01/2025 to Present
Client Experience Associate / Client Experience Specialist, Motley Fool Wealth Management, LLC	10/2021 to 01/2025
High Net Worth Representative / Client Relationship Advocate, Fidelity Brokerage Services LLC	03/2020 to 09/2021

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Chase. Mr. Chase has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Chase.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Chase.***

However, we do encourage you to independently view the background of Mr. Chase on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7240196.

Item 4 – Other Business Activities

Registered Investment Advisor ("RIA") Affiliation

Mr. Chase in his individual capacity is also an Investment Advisor Representative with Hoot Wealth LLC (CRD# 334034). Mr. Chase does not recommend the services of Hoot Wealth LLC to Clients of Maridea and is strictly maintaining the dual Investment Advisor Representative registration for transition purposes.

Item 5 – Additional Compensation

Mr. Chase has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Chase serves as a Client Success Associate of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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Form ADV Part 2B – Brochure Supplement

for

**Shanna J. Reed
Investment Advisor Representative**

Effective: August 27, 2025

Gilbert, AZ

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Shanna J. Reed (CRD# 4734752) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Ms. Reed is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 4734752.

Item 2 – Educational Background and Business Experience

Shanna J. Reed, born in 1973, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Ms. Reed earned her Masters of Organizational Management, Business from University of Phoenix in 2004. Additional information regarding Ms. Reed's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	08/2025 to Present
Insurance Agent, Maridea Insurance Company, LLC	08/2025 to Present
Registered Representative, Cambridge Investment Research, Inc.	04/2015 to 08/2025
Investment Advisor Representative, Cambridge Investment Research Advisors, Inc.	04/2015 to 08/2025

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Reed. Ms. Reed has never been involved in any regulatory, civil or criminal action. There have been no lawsuits, arbitration claims or administrative proceedings against Ms. Reed.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Reed.***

However, we do encourage you to independently view the background of Ms. Reed on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 4734752.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Ms. Reed is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Ms. Reed's role with Maridea. As an insurance professional, Ms. Reed will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Ms. Reed is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Reed or the Advisor. Ms. Reed spends approximately 10% of her time per month in this capacity.

By HFS

Ms. Reed is also the Owner of By HFS. Ms. Reed offers financial coaching, tax, divorce, estate planning, and business legal services through By HFS, which are separate in addition to advisory services offered by Maridea. Ms. Reed spends less than 5% of her time per month in this capacity.

Item 5 – Additional Compensation

Ms. Reed has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Ms. Reed serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by

various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

Form ADV Part 2B – Brochure Supplement

for

**Alden E. Rowe
Investment Advisor Representative**

Effective: August 27, 2025

Gilbert, AZ

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Alden E. Rowe (CRD# 6770878) in addition to the information contained in the Maridea Wealth Management LLC (“Maridea” or the “Adviser”, CRD# 325523) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maridea Disclosure Brochure or this Brochure Supplement, please contact us at (347) 289-5227.

Additional information about Mr. Rowe is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6770878.

Item 2 – Educational Background and Business Experience

Alden E. Rowe, born in 1992, is dedicated to advising Clients of Maridea as an Investment Advisor Representative. Mr. Rowe earned his Bachelor of Science in Business Administration and Management from Maharishi International University in 2015. Mr. Rowe also earned his Master of Business Administration from Maharishi International University in 2016. Additional information regarding Mr. Rowe's employment history is included below.

Employment History:

Investment Advisor Representative, Maridea Wealth Management LLC	08/2025 to Present
Insurance Agent, Maridea Insurance Company, LLC	08/2025 to Present
Investment Advisor Representative, Cambridge Investment Research Advisors, Inc.	08/2019 to 08/2025
Admin / Registered Representative, Cambridge Investment Research, Inc.	08/2017 to 08/2025

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Rowe. Mr. Rowe has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Rowe.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Rowe.***

However, we do encourage you to independently view the background of Mr. Rowe on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6770878.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Rowe is also a licensed insurance professional with Maridea Insurance Company, LLC, an affiliated entity. Implementations of insurance recommendations are separate and apart from Mr. Rowe's role with Maridea. As an insurance professional, Mr. Rowe will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Rowe is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Rowe or the Adviser. Mr. Rowe spends approximately 10% of his time per month in this capacity.

Oxford Parlor

Mr. Rowe is also a Partner with Oxford Parlor, a drop-shipping business. Mr. Rowe oversees the operations of the business in this capacity. Mr. Rowe spends less than 5% of his time per month in this capacity.

Alden Rowe Sole Proprietorship

Mr. Rowe is also the Owner of Alden Rowe Sole Proprietorship. Mr. Rowe runs the operations of an e-commerce business in this capacity. Mr. Rowe spends less than 5% of his time per month in this capacity.

Rogue Repeater

Mr. Rowe is also the Owner of Rogue Repeater, an online retail store. Mr. Rowe oversees the operations of Rogue Repeater. Mr. Rowe spends less than 5% of his time per month in this capacity.

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Cobalt Cove Classics

Mr. Rowe is also the Owner of Cobalt Cove Classics, a publishing company. Mr. Rowe runs the operations of the publishing company in this capacity. Mr. Rowe spends approximately 5% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Rowe has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Rowe serves as an Investment Advisor Representative of Maridea and is supervised by Robert Pagliuco, the Chief Compliance Officer. Mr. Pagliuco can be reached at (347) 289-5227.

Maridea has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maridea. Further, Maridea is subject to regulatory oversight by various agencies. These agencies require registration by Maridea and its Supervised Persons. As a registered entity, Maridea is subject to examinations by regulators, which may be announced or unannounced. Maridea is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Adviser.

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